

14230 U.S. PTO
041304

PATENT
Docket No. 5000-5167
Customer No. 27123
Express Mail Label No. EV 357795916 US

22390
10/823199
041304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

Applicant(s): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE;
Tetsuji YAMAGUCHI and Jiro YAMASHITA

Serial No.: TBA Group Art Unit: TBA

Filed: Concurrently Herewith Examiner: TBA

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO;
and Hitotoshi MURASE;

c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI
2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan

Tetsuji YAMAGUCHI

Residing at 27-17, Ushijima, Kaisei-machi, Ashigarakami-gun, Kanagawa-ken
258-0022 Japan

and Jiro YAMASHITA

Residing at 521-203, Nobusawa, Kaisei-machi, Ashigarakami-gun, Kanagawa-ken
258-0026 Japan

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

Enclosed are:

[X] 21 page(s) of specification, 1 page(s) of Abstract, 3 page(s) of claims

[X] 1 sheets of drawing [X] formal (Figures 1-2) [] informal

[] ____ page(s) of Declaration and Power of Attorney

[] Unsigned

[] Newly Executed

[] Copy from prior application

[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☒ Related Case Statement under 37 C.F.R. § 1.98(a)(2)(iii)

☐ A copy of related pending U.S. Application(s) Serial No(s): _____, filed _____, respectively, is attached hereto.

☒ A copy of related pending U.S. Application(s) entitled, COMPRESSOR, filed April 13, 2004 to inventor(s) Akio SAIKI, Noriyuki SHINTOKU, Toshihisa SHIMO, Noriaki BABA, and Hitotoshi MURASE, respectively, is attached hereto.

☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.

[] Microfiche Computer Program (Appendix)

[] ____ page(s) of Sequence Listing

[] computer readable disk containing Sequence Listing

[] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

[X] Claim for Priority (Claim to Convention Priority) is made to Japanese Patent Application No. 2003-109597 filed on April 14, 2003.

[X] A Certified copy of the Priority Document will be submitted at a later date.

[] English translation documents

[X] Information Disclosure Statement

[X] Copies of the cited references, including Form PTO-1449 are enclosed.

[] Copy of PTO-1449 filed in parent application serial No. _____.

[] Preliminary Amendment

[X] Return receipt postcard (MPEP 503)

[] Assignment Papers (assignment cover sheet and assignment documents)

[] A check in the amount of \$ 40.00 for recording the Assignment is enclosed.

[] Assignment papers filed in parent application Serial No. _____.

- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____
_____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee \$ 770.00
Total Claims	14	-20 =	0	x \$ 18.00	\$ 0.00
Independent Claims	2	- 3 =	0	x \$ 86.00	\$ 0.00
Multiple Dependent Claims			Additional Fee =		
	<input type="checkbox"/> yes		Add'l Fee =	\$ 290.00	\$ 0.00
	<input checked="" type="checkbox"/> no			NONE	

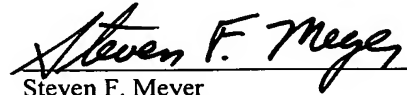
Total: \$770.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.
- ☒ Check in the amount of \$770.00 is enclosed in payment of the application filing fee.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5167.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Dated: April 13, 2004


Steven F. Meyer
Registration No. 35, 613

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE;
Tetsuji YAMAGUCHI and Jiro YAMASHITA

Serial No.: TBA **Group Art Unit:** TBA

Filed: Herewith Examiner: TBA

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

Customer No.: 27123

EXPRESS MAIL CERTIFICATE

**Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Express Mail Label No.: EV 357795916 US

Date of Deposit: April 13, 2004

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Application Fee Transmittal;
2. Claim to Convention Priority
3. Specification: 21 pp., Claims: 3 pp., and Abstract: 1 pp.;
4. One (1) sheet of Formal Drawings - Figs. 1-2;
5. Information Disclosure Statement;
6. PTO Form 1449 with cited references;
7. Transmittal of Related Pending Application;
8. Related application (45 pp., Drawings: 9 sheets);
9. Related Case Submission;
10. Check in the amount of \$770.00; and
11. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

Albert Isles

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE;
Tetsuji YAMAGUCHI and Jiro YAMASHITA

Group Art Unit: TBA

Serial No.: TBA

Examiner: TBA

Filed: Herewith

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

TRANSMITTAL OF RELATED PENDING APPLICATIONS 37 C.F.R. §1.98(a)2(iii)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Related Case Submission listing related pending applications of the above-identified application, pursuant to 37 C.F.R. §1.98(a)2(iii).

- ☒ A copy of each cited pending application is attached.
- ☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5167. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 13, 2004

By: Steven F. Meyer
Steven F. Meyer
Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

RELATED CASE SUBMISSION

Attorney Docket:
5000-5167

Serial No.:
TBA

Applicant:
Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO;
Hitotoshi MURASE; Tetsuji YAMAGUCHI and Jiro YAMASHITA

Filing Date:
Herewith

Group Art Unit:
TBA

RELATED PENDING APPLICATIONS

Examiner Initial		Serial No.	Filing Date	Inventor(s)	Patent No. (if applicable)	Issue Date (if applicable)
	1	Atty Docket 5000-5166	April 13, 2004	Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE; Tetsuji YAMAGUCHI and Jiro YAMASHITA		
	2					
	3					
	4					
	5					
	6					
	7					
	8					
	9					
	10					
	11					
	12					
	13					
	14					
	15					
	16					
	17					
	19					
Examiner			Date Considered			